

10/23/97



JCS49 U.S. PTO

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Case Docket No. SET 0106 PUSDate: October 23, 1997ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor: Timothy K. Searfoss

For: ELECTRIC TARP SYSTEM FOR TRUCK BED

Enclosed are:

- ☒ 4 sheets of drawings.
- ☒ Declaration for Patent Application signed by the inventor with Power of Attorney.
- ☒ Verified Statement claiming small entity status as an Independent Inventor.
- ☒ Check in the amount of \$ 395.00.

The filing fee has been calculated as shown below:

For:	(Col.1) No. Filed	(Col.2) No. Extra	Small Entity Rate	Fee	Other Than A Small Entity Rate	Fee
Basic Fee				\$395		\$790
Total Claims	20 -20	x 0	x11 =	0	x22 =	
Indep. Claims	3 -3	x 0	x41 =	0	x82 =	
Multiple Dependent Claims Presented			+135 =		+270 =	
Assignment Recordal Fee -----			TOTAL \$ 395		TOTAL \$	

\_\_\_\_ Please charge my Deposit Account No. 02-3978 in the amount of \$ \_\_\_\_.

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- ☒ Any additional filing fees required under 37 CFR 1.16.
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Respectfully submitted,

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Date of Deposit: October 23, 1997

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Deise S. Searfoss

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## **ELECTRIC TARP SYSTEM FOR TRUCK BED**

### **Technical Field**

5       The present invention relates to an electric tarp system for a truck bed, and more particularly to a direct-drive actuator assembly for actuating a tarp spool.

### **Background Of The Invention**

10       Many trucks, particularly those hauling loose loads such as sand and gravel, need a truck cover to prevent wind from blowing load particles off the truck bed. Various structures have been devised for covering a truck load. Some such structures include a motor for selectively winding the flexible cover.

15       It is desirable that the truck cover be easily operated by the truck driver in order to selectively cover and uncover the truck bed from within the truck cab while tightly sealing both the front and rear of the truck bed to prevent wind from passing under the truck cover and disturbing the truck bed contents.

20       Prior art truck bed covers and related structure are disclosed in U.S. Patent Nos. 4,516,802; 4,126,351 to Peteratti; 4,082,347 to Peteratti; 3,868,142 to Bachand et al.; 3,546,197 to Sibley; 3,549,199 to Sibley; and 3,628,826 to Sibley.

25       My U.S. Patent No. 5,031,955 was the first patent to disclose a direct-drive spool winding system

which eliminated problems inherent in chain drives, while reducing the space required for such a drive mechanism.

5 The direct-drive system disclosed in the '955 patent includes a worm gear for directly rotating the tarp spool. Because the tarp is spring-loaded, the direct-drive system and tarp spool assembly must be operative to automatically lock the tarp in position against the force of the spring when the tarp spool is not rotating. Accordingly, the worm gear design of the 10 '955 patent is effective in automatically locking the tarp spool in position when the actuator motor is turned off. This self-braking configuration is accomplished by the 90° relationship of the worm gear with respect to 15 the drive bolt threads. However, a problem with such a worm gear configuration is that frictional losses reduce efficiency of the system to 66% or less.

Accordingly, it is desirable to provide a direct-drive tarp actuator assembly which has improved 20 efficiency while still providing the automatic self-braking feature.

### **Disclosure Of The Invention**

25 The present invention overcomes the above-referenced shortcomings of prior art assemblies by providing a direct-drive actuator assembly for actuating a tarp spool for a truck bed tarp system wherein an electric motor is provided for driving a tarp spool through a worm gear transmission system, and wherein the electric motor includes a brake secured thereto for

automatically locking the motor against rotation when the motor is deenergized.

More specifically, the present invention provides a direct-drive actuator assembly for actuating a tarp spool for a truck bed tarp system. The direct-drive actuator assembly includes a transmission housing. An output shaft extends from the transmission housing for directly driving the tarp spool. The transmission housing also includes transmission gears therein. An electric motor is operatively connected to the transmission gears for actuating the tarp spool. A brake is operatively connected to the electric motor for automatically braking the motor when the electric motor is turned off.

In a preferred embodiment, a cover is secured to the transmission housing over the electric motor and brake for enclosing the electric motor and brake. A plurality of bolts which connect the brake to the electric motor also connect the cover to the transmission housing.

Preferably, the electric motor and brake are operatively connected such that the brake and electric motor may be deenergized simultaneously so the brake automatically locks the motor to prevent tarp rotation when the motor is switched off.

Another aspect of the invention provides an electric tarp system for covering a truck bed. The system includes a tarp housing adapted for attachment to the truck, and a tarp spool positioned within the tarp housing. A direct-drive actuator assembly, as described

above, is directly attached to the tarp housing for directly driving the tarp spool.

Accordingly, an object of the present invention is to provide a direct-drive actuator assembly for actuating a tarp spool, wherein the drive actuator is automatically self-locking, and wherein system efficiency is improved.

A further object of the present invention is to provide a direct-drive actuator assembly for actuating a tarp spool, wherein the direct-drive actuator assembly includes a transmission housing directly mounted to the tarp housing.

A further object of the present invention is to provide a direct-drive actuator assembly for actuating a tarp spool, including an electric motor and brake mounted together in stacked relationship, and enclosed by a cover.

The above objects and other objects, features, and advantages of the present invention are readily apparent from the following detailed description of the best mode for carrying out the invention when taken in connection with the accompanying drawings.

### **Brief Description Of The Drawings**

FIGURE 1 is a side perspective view of a truck on which an electric tarp system is installed in accordance with the present invention;

FIGURE 2 is a partially cut-away perspective view of a truck cover winding assembly in accordance with the embodiment shown in Figure 1;

5       FIGURE 3 is a side view of a direct-drive actuator assembly in accordance with the present invention;

FIGURE 4 is a partially disassembled side view of a direct-drive actuator assembly in accordance with the present invention;

10       FIGURE 5 is a partially disassembled end view of the transmission housing shown in Figure 4;

FIGURE 6 is a vertical cross-sectional view of a brake for use with the present invention;

15       FIGURE 7 is an end of the brake shown in Figure 6; and

FIGURE 8 is a perspective view of a direct-drive actuator assembly in accordance with the present invention.

### **Detailed Description of the Preferred Embodiment**

20       As shown in Figure 1, a truck 10 of a conventional design is illustrated having a cab 12 and a truck bed 14. The truck bed 14 includes front and rear ends 16,17 and first and second sides 18,19.

The subject invention may be utilized on both flat bed trucks and those having raised sides, as illustrated in Figure 1.

5 An electric tarp system 20 embodying the principles of the subject invention is illustrated in Figure 1 on the truck 10 for covering the truck bed 14.

10 The electric tarp system 20 includes a flexible cover or tarp 22, a winding assembly 24 for winding and storing cover 22, an extension assembly 26 for extending the cover 22 over the truck bed 14, and a tension assembly 28 for applying a downward force on the cover 22 proximate the front end 16 of the truck bed 14.

15 As shown in Figure 2, the winding assembly 24 includes a tarp housing 30 having a tapered front surface 32 for streamlining the housing 30 and causing wind to flow upward over the assembly 24. The winding assembly 24 also includes a direct-drive actuator assembly 34 for rotatably driving the flexible cover or tarp 22, which is supported on the rotatable spool 36.  
20 As shown, the direct-drive actuator assembly 34 is directly connected to the tarp housing 30.

25 Turning to Figures 3-5, the direct-drive actuator assembly 34 includes a transmission housing 38 adapted for attachment to the tarp housing 30 by means of the attachment bolts 40. An output shaft 42 extends from the transmission housing 38 for directly driving the tarp spool 36. A motor-driven armature shaft 44 extends into the transmission housing 38. A number of rotatable transmission spur gears 46, 48, 50, 52 are

rotatably driven by the armature shaft 44 for actuating rotation of the output shaft 42.

5 The spur gears 46, 48, 50, 52 provide an approximately 60.9:1 gear reduction ratio, which enables the use of a small DC motor for driving the transmission gears.

10 The particular spur gear configuration illustrated in Figures 4 and 5 is configured to minimize center distance between the armature shaft 44 and the output shaft 42, thereby minimizing overall height of the actuator assembly 34. This spur gear arrangement is approximately 97% efficient in comparison to the prior art design worm gear arrangement which is 66% efficient or less. This efficiency improvement is accomplished by 15 means of reduced frictional losses by using the spur gear arrangement instead of the worm gear arrangement. However, the spur gear arrangement is not self-braking, therefore the present invention provides a novel brake arrangement used in conjunction with the DC electric 20 motor for automatic braking, as described below.

25 The DC electric motor is operatively connected to the armature shaft 44 for driving the spur gears 46, 48, 50, 52 and the output shaft 42 for tarp movement. The DC motor is preferably a commonly available axial motor, such as a 475 ounce per inch motor available from Redman Motor of Owosso, Michigan. The DC motor 54 is directly connected to the transmission housing by bolts 45, shown in Figure 4, for driving the armature shaft 44 and transmission gears. The DC motor 54 could be 30 connected to the transmission housing 34 by allen head cap screws.



As shown in Figures 3, 4, 6 and 7, a brake 60 is secured to the motor shaft 62 for automatically braking the DC motor 54 when deenergized.

The preferred brake assembly 60 for use with the present invention, as shown in Figures 6 and 7, is a spring-set disc brake, such as that available from Rexnord Corporation of Milwaukee, Wisconsin. This brake is a spring-applied, power-released brake to facilitate automatic locking of the electric motor. The motor shaft 62 includes an aperture 64 formed therein. The motor shaft 62 is inserted into the hub 66, and aperture 64 is aligned with hub aperture 68, and a pin inserted therethrough for engaging the hub 66 and motor shaft 64. As shown in Figure 7, the hub 66 is hexagonally shaped. A friction disc 70 is secured peripherally about the hexagonally shaped hub 66. A spring 72 applies a braking force against an armature plate 74 for squeezing the friction disc 70 against the pressure plate 76 for braking rotational movement of the motor shaft 62. The armature plate 74 may be biased away from the friction disc 70 against the force of the spring 72 by energizing the coil 78.

25 Preferably, the brake 60 and DC motor 54 are  
connected together such that a single switch will  
actuate the DC motor 54 for causing rotation of the  
tarp, and the coil 78 of the brake 60 will simultaneous-  
ly be energized for moving the armature 74 against the  
force of the spring 72 to eliminate friction upon the  
friction disc 70 to allow drive shaft 62 rotation.  
30 Similarly, when the single switch is turned off, the DC  
motor 54 is deenergized, and the brake coil 78 is  
deenergized so that the spring 72 reengages the armature

plate 74 against the friction disc 70 to lock the hub 66 and accordingly the drive shaft 62 is prevented from rotating.

Because of the approximately 60:1 gear reduction in the transmission, a substantial amount of braking capacity is provided by providing the brake directly at the drive motor shaft 62. Accordingly, the substantial spring-load acting on the tarp system is automatically overcome when the DC motor 54 and brake coil 70 are simultaneously deenergized to activate the brake 60. Accordingly, the brake 60 is of the spring-applied, power-released type.

Turning to Figure 8, the direct-drive actuator 34 is shown in perspective view. As shown, the bolts 56,58 which attach the brake 60 to the DC motor 54 are also used for connecting a substantially rectangular plastic cover 84 to the transmission housing 38 over the DC motor 34 and brake 60 for enclosing the motor 54 and brake 60. Attachment of the cover 84 is accomplished by means of the nuts 80,82 which are attached to the bolts 56,58, respectively. This plastic cover 84 is highly effective in protecting the DC motor 54 and brake 60 from the effects of chemicals used in power washing of such a truck. The wires shown in Figure 8 extending from the plastic cover 84 may alternatively be positioned at a bottom portion of the cover 84 to prevent moisture entry and enhance draining. The bolts 56,58 are also operative to hold the motor components together.

The novel stacked configuration of the brake and motor onto the transmission housing, as well as the

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**What Is Claimed Is:**

1. A direct-drive actuator assembly for actuating a tarp spool for a truck bed tarp system, the direct-drive actuator assembly comprising:

- 5 a transmission housing having an output shaft for directly driving the tarp spool, and further having transmission gears therein engaging the output shaft;  
an electric motor operatively connected to the transmission gears for actuating the tarp spool; and  
10 a brake operatively connected to the electric motor for automatically braking the motor when the electric motor is turned off.

2. The direct-drive actuator assembly of claim 1, further comprising:

- 15 a plurality of bolts connecting the brake to the motor; and  
a cover secured to the transmission housing by the plurality of bolts over the electric motor and brake for enclosing the electric motor and brake.

20 3. The direct-drive actuator assembly of claim 2, wherein the electric motor and brake are disposed along a common axis.

4. The direct-drive actuator assembly of claim 3, wherein the brake is a spring-applied, power-released type brake.  
25

5. The direct-drive actuator assembly of claim 4, wherein the electric motor and brake are electrically connected for single-switch operation such

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that the brake and electric motor may be energized and deenergized simultaneously.

6. The direct-drive actuator assembly of claim 1, further comprising first and second attachment bolts for securing the transmission housing to the tarp housing.

7. The direct-drive actuator assembly of claim 1, wherein the transmission housing includes a plurality of spur gears therein operatively connected between the input shaft and output shaft, and having approximately a 60:1 gear reduction.

8. The direct-drive actuator assembly of claim 1, wherein the electric motor comprises a DC motor.

9. An electric tarp system for covering a truck bed, the system comprising:

a tarp housing adapted for attachment to the truck;

a tarp spool positioned within the tarp housing;

a transmission housing mounted to the tarp housing, and having an output shaft for directly driving the tarp spool, and further having transmission gears therein engaging the output shaft;

an electric motor operatively connected to the transmission gears for actuating the tarp spool; and

a brake operatively connected to the electric motor for automatically braking the motor when the electric motor is turned off.

10. The direct-drive actuator assembly of claim 9, further comprising:

a plurality of bolts connecting the brake to the motor; and

5 a cover secured to the transmission housing by the plurality of bolts over the electric motor and brake for enclosing the electric motor and brake.

10 11. The direct-drive actuator assembly of claim 10, wherein the electric motor and brake are disposed along a common axis.

12. The direct-drive actuator assembly of claim 11, wherein the brake is a spring-applied, power-released type brake.

15 13. The direct-drive actuator assembly of claim 12, wherein the electric motor and brake are electrically connected for single-switch operation such that the brake and electric motor may be energized and deenergized simultaneously.

20 14. The direct-drive actuator assembly of claim 9, further comprising first and second attachment bolts for securing the transmission housing to the tarp housing.

25 15. The direct-drive actuator assembly of claim 9, wherein the transmission housing includes a plurality of spur gears therein operatively connected between the input shaft and output shaft, and having approximately a 60:1 gear reduction.

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16. The direct-drive actuator assembly of claim 9, wherein the electric motor comprises a DC motor.

17. A direct-drive actuator assembly for  
5 actuating a tarp spool for a truck bed tarp system, the  
direct-drive actuator assembly comprising:

a transmission housing having an output shaft for directly driving the tarp spool, and a plurality of transmission gears;

10            an electric motor operatively connected to the  
transmission gears for actuating the tarp spool;

a brake operatively connected in a stacked relationship with the electric motor for automatically braking the motor when the electric motor is turned off;

15 and

a cover secured to the transmission housing  
for enclosing the electric motor and brake.

18. The direct-drive actuator assembly of claim 17, wherein the electric motor and brake are disposed along a common axis.

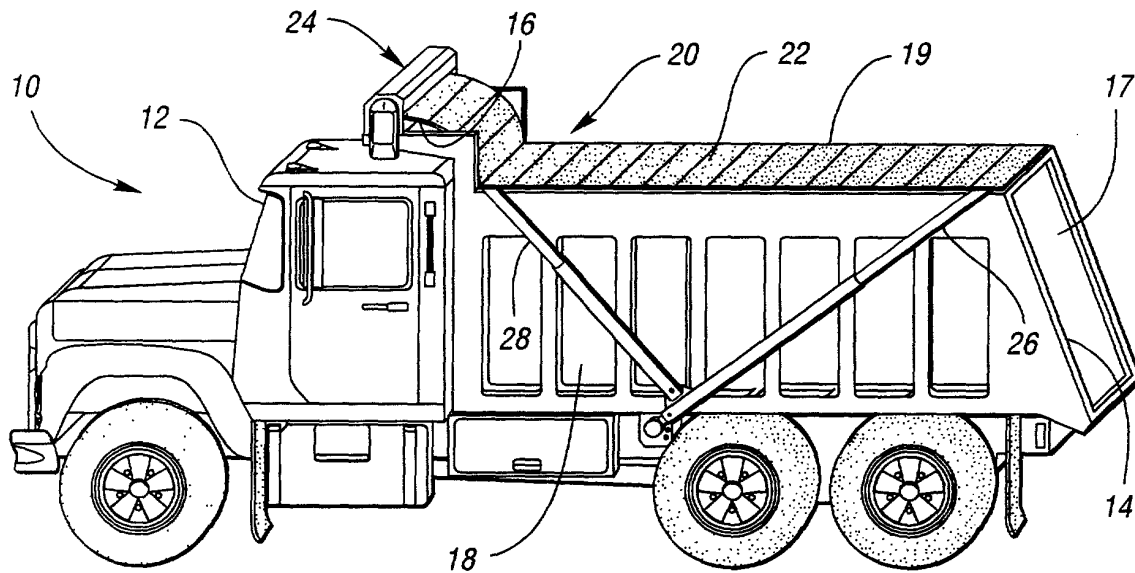
19. The direct-drive actuator assembly of claim 18, wherein the brake is a spring-applied, power-released type brake.

25           20. The direct-drive actuator assembly of claim 19, wherein the electric motor and brake are electrically connected for single-switch operation such that the brake and electric motor may be energized and deenergized simultaneously.

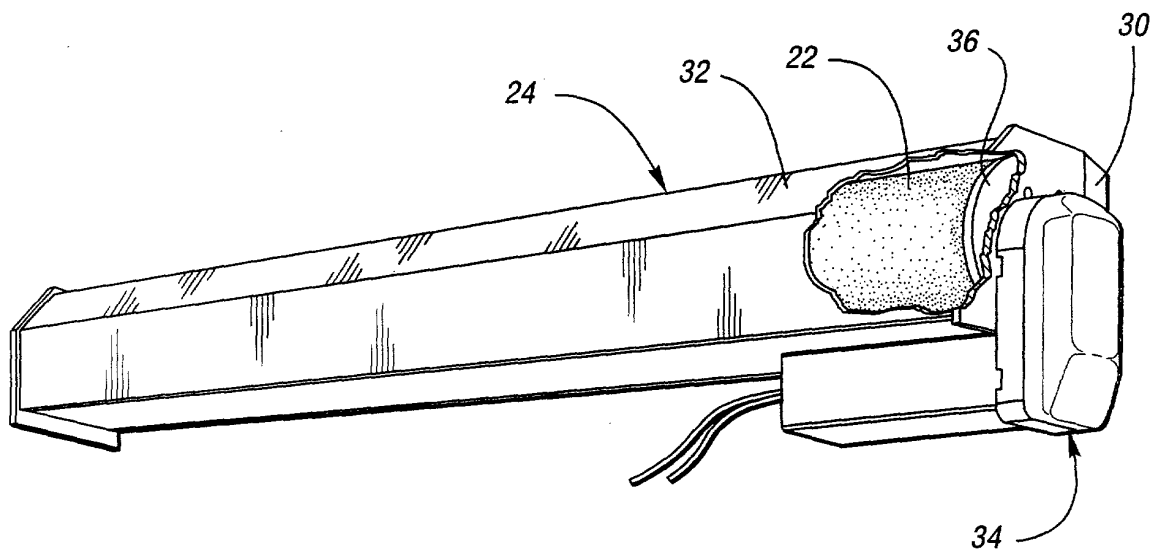
**Abstract**

A direct-drive actuator assembly actuates a tarp spool for a truck bed tarp system. The direct-drive actuator assembly includes a transmission housing adapted for mounting to the tarp housing. An output shaft extends from the transmission housing for driving the tarp spool. An input shaft is also positioned at least partially within the transmission housing. An electric motor is operatively connected to the input shaft for actuating the tarp spool. A brake is operatively connected to the electric motor for automatically braking the motor when the electric motor is turned off. A cover is provided for enclosing the stacked brake and electric motor against the transmission housing.

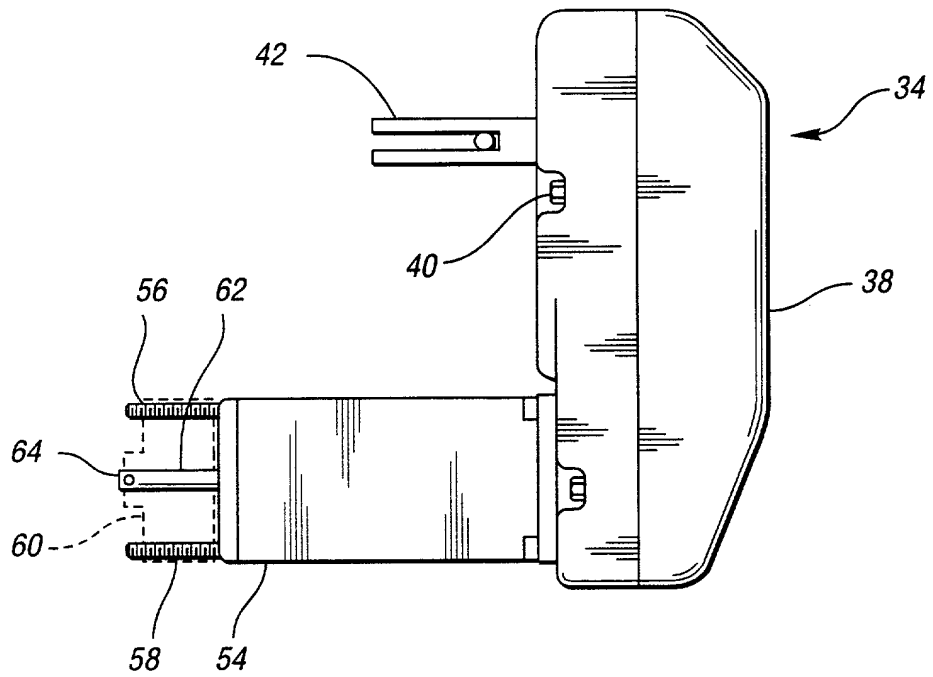




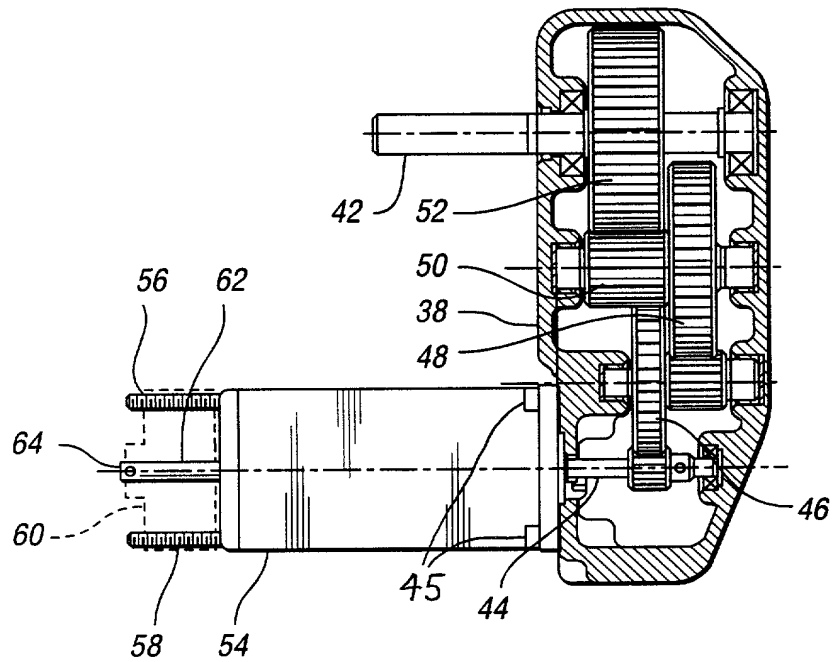
*Fig. 1*



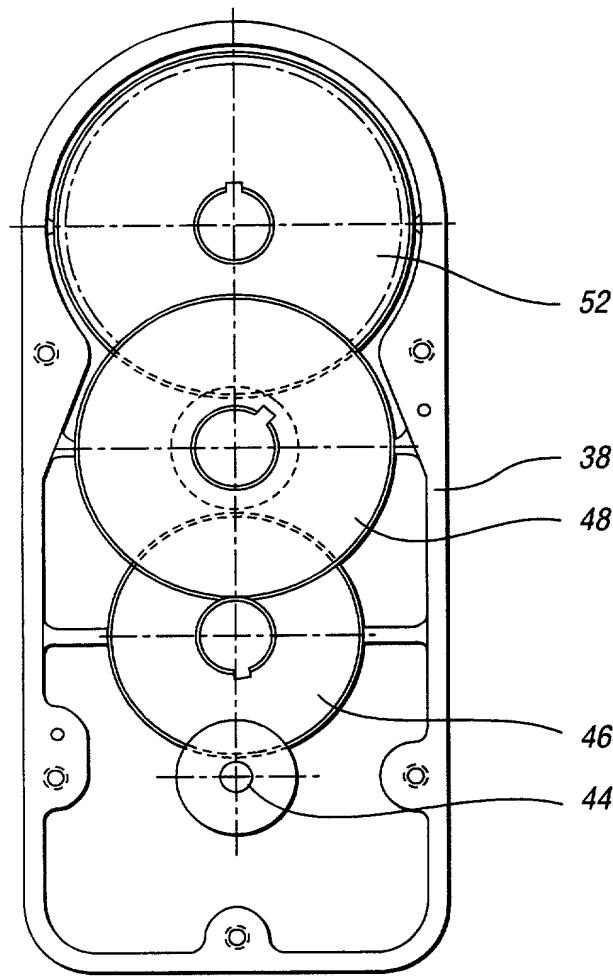
*Fig. 2*



*Fig. 3*

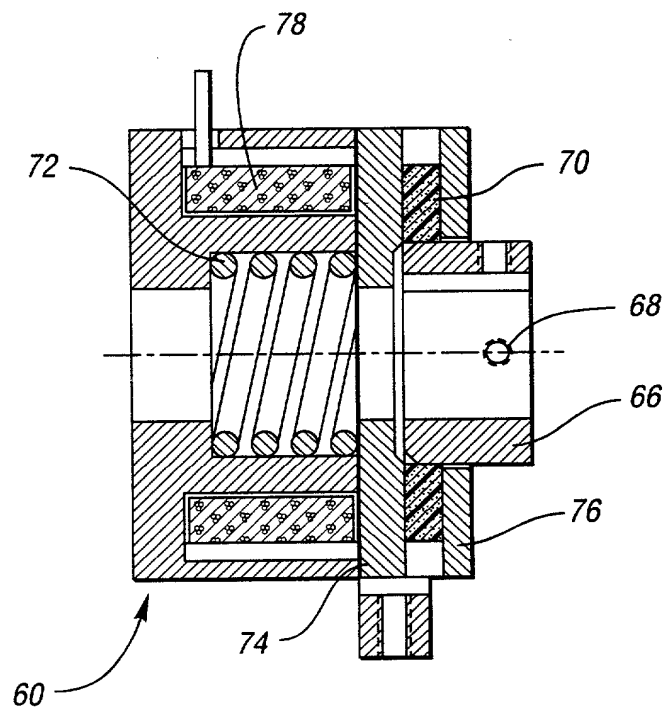


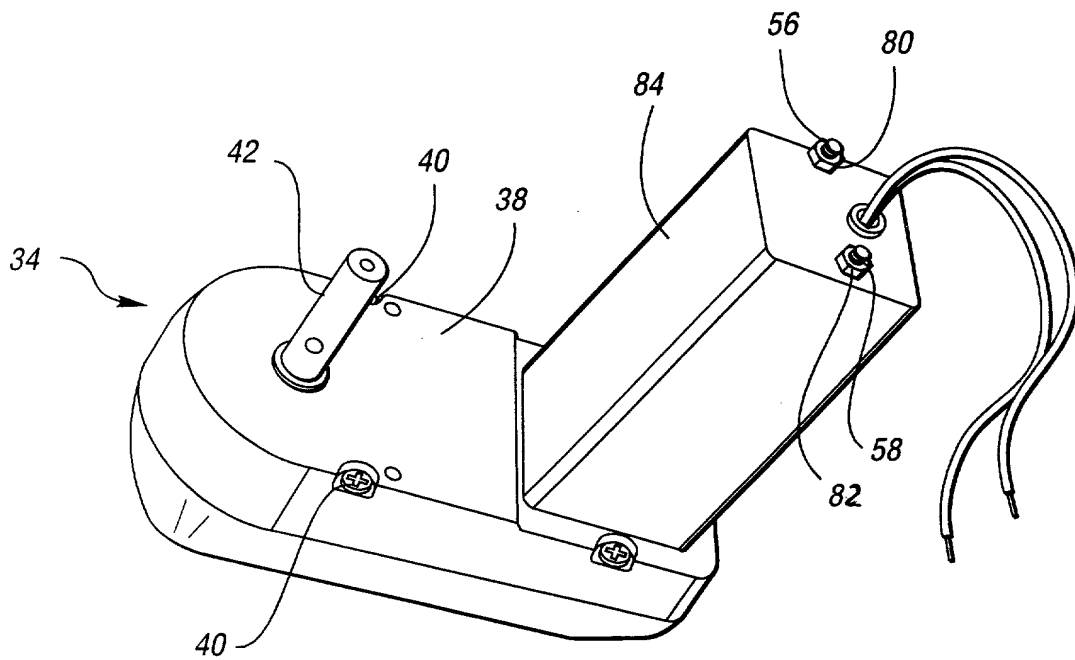
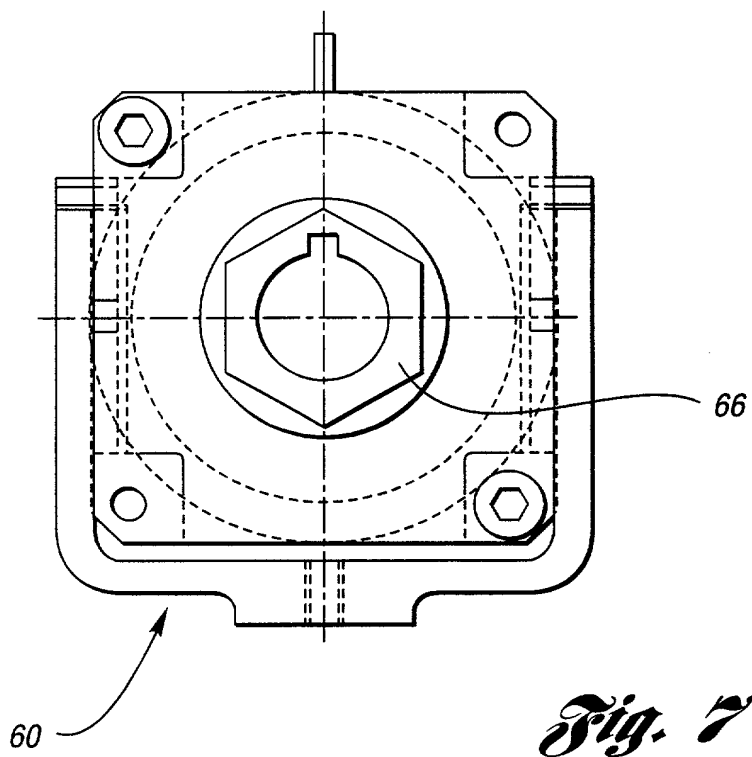
*Fig. 4*



*Fig. 5*

*Fig. 6*





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Timothy K. Searfoss Attorney's  
Docket No. SET 0106 PUS

Serial or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

For: ELECTRIC TARP SYSTEM FOR TRUCK BED

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As below named invention, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled ELECTRIC TARP SYSTEM FOR TRUCK BED

described in

- ☒ [ X ] the specification filed herewith  
☐ [ ] application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ [ ] patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

There is no person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Timothy K. Searfoss  
NAME OF INVENTOR NAME OF INVENTOR NAME OF  
INVENTOR

Timothy K. Searfoss  
Signature of Inventor Signature of Inventor Signature of Inventor

10-9-97  
Date Date Date

## DECLARATION FOR PATENT APPLICATION

Docket No. SET 0106 PUS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ELECTRIC TARP SYSTEM FOR TRUCK BED

the specification of which

(check one) ☒ is attached hereto.

☐ was filed on \_\_\_\_\_; as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Ernie L. Brooks, Reg. No. 26,260; James A. Kushman, Reg. No. 25,634; Ralph M. Burton, Reg. No. 17,748; David R. Syrowik, Reg. No. 27,956; Mark A. Cantor, Reg. No. 30,614; Robert C. J. Tuttle, Reg. No. 27,962; Earl J. LaFontaine, Reg. No. 30,766; Ronald M. Nabozny, Reg. No. 28,648; Thomas A. Lewry, Reg. No. 30,770; William G. Abbott, Reg. No. 31,936; Kevin J. Heintz, Reg. No. 29,805; John E. Nemazi, Reg. No. 30,876; Robert C. Brandenburg, Reg. No. 29,048; Paul M. Schwartz, Reg. No. 33,278; Frederick M. Ritchie, Reg. No. 18,669; Donald J. Harrington, Reg. No. 17,427; John A. Artz, Reg. No. 25,824; Timothy G. Newman, Reg. No. 34,228; Keith L. Zerschling, Reg. No. 18,496; John M. Halan, Reg. No. 35,534; Jeffrey M. Szuma, Reg. No. 35,700; William G. Conger, Reg. No. 31,209; Richard J. Schulte, Reg. No. 35,350; Robert C. Jones, Reg. No. 35,209; A. Frank Duke, Reg. No. 20,937; James R. Ignatowski, Reg. No. 26,741; Sangeeta Shah, Reg. No. 38,614; Frank A. Angileri, Reg. No. 36,733; David S. Bir, Reg. No. 38,383; Christopher W. Quinn, Reg. No. 38,274; Rhonda L. McCoy-Pfau, Reg. No. 37,887; John S. Artz, Reg. No. 36,431; Hugo A. Delevie, Reg. No. 32,688; James Kallis, Reg. No. 41,102; Ralph E. Smith, Reg. No. 35,474.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Timothy K. SearfossInventor's signature Timothy K. Searfoss Date 10-9-97Post Office Address same as residence Citizenship United States of AmericaResidence 1282 E. M-55, West Branch, Michigan 48661

Full name of second joint inventor, if any \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Post Office Address \_\_\_\_\_ Citizenship \_\_\_\_\_

Residence \_\_\_\_\_